



Attorney Docket No.

Patent  
033175-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Guohua Xiao et al.

Application No.: 10/799,050

Filing Date: March 12, 2004

Title: SELF-ADJUSTING OPTICAL ADD-DROP MULTIPLEXER AND OPTICAL NETWORKS USING SAME

Group Art Unit: 2633

Examiner: Kinfe Michael Negash

Confirmation No.: 6734

AMENDMENT/REPLY TRANSMITTAL LETTER



Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a reply for the above-identified patent application.

☒ A Petition for Extension of Time is also enclosed.

☐ Terminal Disclaimer(s) and the ☐ \$65.00 (2814) ☐ \$130.00 (1814) fee per Disclaimer due under 37 C.F.R. § 1.20(d) are also enclosed.

☒ Also enclosed is/are Formal Drawing Replacement Sheet

☐ Small entity status is hereby claimed.

☐ Applicant(s) requests continued examination under 37 C.F.R. § 1.114 and enclose the ☐ \$395.00 (2801) ☐ \$790.00 (1801) fee due under 37 C.F.R. § 1.17(e).

☐ Applicant(s) requests that any previously unentered after final amendments not be entered. Continued examination is requested based on the enclosed documents identified above.

☐ Applicant(s) previously submitted \_\_\_\_\_  
on \_\_\_\_\_  
for which continued examination is requested.

☐ Applicant(s) requests suspension of action by the Office until at least \_\_\_\_\_, which does not exceed three months from the filing of this RCE, in accordance with 37 C.F.R. § 1.103(c). The required fee under 37 C.F.R. § 1.17(i) is enclosed.

☐ A Request for Entry and Consideration of Submission under 37 C.F.R. § 1.129(a) (1809/2809) is also enclosed.

- ☒ No additional claim fee is required.
- ☐ An additional claim fee is required, and is calculated as shown below.

| AMENDED CLAIMS   |                  |  |              |                     |                |
|--|------------------|--|--------------|---------------------|----------------|
|  | No.<br>of Claims | Highest No.<br>of Claims<br>Previously<br>Paid For | Extra Claims | Rate                | Additional Fee |
| Total Claims   | 3                | MINUS 3 =  | 0            | x \$50.00 (1202) =  | \$ 0.00        |
| Independent Claims   | 1                | MINUS 1 =  | 0            | x \$200.00 (1201) = | \$ 0.00        |
| If Amendment adds multiple dependent claims, add \$360.00 (1203)                                 |                  |  |              |                     |                |
| Total Claim Amendment Fee  |                  |  |              |                     | \$ 0.00        |
| <input type="checkbox"/> Small Entity Status claimed - subtract 50% of Total Claim Amendment Fee |                  |  |              |                     | \$ 0.00        |
| <b>TOTAL ADDITIONAL CLAIM FEE DUE FOR THIS AMENDMENT</b>   |                  |  |              |                     | <b>\$ 0.00</b> |


- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800.
- ☒ Charge \$ 120.00 to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(d) and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By   
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Date: June 7, 2005



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|----------------------------------|---|--------------------------------|
| In re Patent Application of      | ) |                                |
| Guohua Xiao et al.               | ) | Group Art Unit: 2633           |
| Application No.: 10/799,050      | ) | Examiner: Kinfe Michael Negash |
| Filed: March 12, 2004            | ) | Confirmation No.: 6734         |
| For: SELF-ADJUSTING OPTICAL ADD- | ) |                                |
| DROP MULTIPLEXER AND             | ) |                                |
| OPTICAL NETWORKS USING           | ) |                                |
| SAME                             | ) |                                |

**AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This reply and amendment is in response to the Office Action mailed on February 7, 2005 for the above-noted application. A petition requesting a one month extension of time accompanies this reply which is being filed on or before its current due date of June 7, 2005. In response to the Office Action, entry of the following amendments is earnestly solicited.

**Amendments to Specification** begin on page 2.

**Amendments to Claims** in the listing of claims begin on page 5.

**Amendments to the Drawings** begin on page 7.

**Remarks** begin on page 8.

An **Appendix** including an amended drawing figure is attached following **Remarks**.